# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| (Print or Ty   | pe Response   | s)  |                        |   |  |            |                 |        |  |  |   |  |   |                              |                  |  |   |                                      |
|--|---|---|------------------------|---|--|------------|-----------------|--------|--|--|---|--|---|------------------------------|------------------|--|---|--------------------------------------|
| Name and Address of Reporting Person  HANDOK, INC.     |   |   |                        | 2. Issuer Name and Ticker or Trading Symbol Rezolute, Inc. [RZLT] |  |            |                 |        |  | 5  | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) DirectorX 10% Owner |  |   |                              |                  |  |   |                                      |
| (Last) (First) (Middle)<br>132, TEHERAN-RO, GANGMAN GU |   |   |                        | 3. Date of Earliest Transaction (Month/Day/Year) 09/28/2020       |  |            |                 |        |  |  | -   | Office   | r (give title belo  | w)                           | Other (specify b | elow)  |   |                                      |
| (Street) SEOUL, M4 06235                               |   |   |                        | 4. If   | 4. If Amendment, Date Original Filed(Month/Day/Year) |            |                 |        |  |  |   | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting PersonForm filed by More than One Reporting Person |   |                              |                  |  |   |                                      |
| (City) (State) (Zip)                                   |   |   |                        |   | Table I - Non-Derivative Securities Acqui            |            |                 |        |  |  |   | quir   | ired, Disposed of, or Beneficially Owned  |                              |                  |  |   |                                      |
| (Instr. 3) Date (Month/Day/Year)                       |   |   | Execu<br>any           | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)       |  | (Instr. 8) |                 | tion   | on 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |  |   |  | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) |                              |                  | Ownership of Form:   | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership                 |                                      |
|  |   |   | (Mont                  | Month/Day/ Year)  |  | Coo        | de              | V      | Amoun  | (A)<br>or<br>(D)                                     | Prio  | ce   | (IIISIT. 3  | ,                            |                  | \ /  | (Instr. 4)  |                                      |
| Common   | Shares  |   | 09/28/2020             |   |  |            | P               | •      |  | 125,00<br>(1)  | 0 A   | \$<br>0.44<br>(2)  | 138   | 99,649                       | ,528             |  | D   |                                      |
| Common   | Shares  |   | 09/29/2020             |   |  |            | P               | ,      |  | 125,00   | 0 A   | \$<br>0.44<br>(3)  | 155   | 99,774                       | ,528             |  | D   |                                      |
| Reminder: 1  | Report on a s   | eparate line t  | for each class of secu | rities b  | eneficial  | ly ov      | vned (          | direct | Per<br>con   | sons whatained i                                     | no resp<br>n this f   | orm a  | are   | not requ                     |                  | ormation<br>pond unle  | ss  | 1474 (9-02)                          |
|  |   |   | Table II -             |   |  |            |                 |        |  | Disposed<br>s, conver                                |   |  |   | y Owned                      |                  |  |   |                                      |
| Security (Instr. 3)                                    | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | Conversion Date (Month/Day/Year) Execution Date (Month/Day/Year) any (Month/Day |                        | ate, if   | 4.<br>Transact<br>Code                               | ion        | 5. 6. Number an |        | 6. I<br>and<br>(M  | Date Exercisable and Expiration Date Month/Day/Year) |   | 7<br>A<br>U<br>S<br>(1   | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) Amount                          |                              |                  | 9. Number of<br>Derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | Owners<br>Form of<br>Derivati<br>Security<br>Direct (1<br>or Indire | Beneficia<br>Ownersh<br>: (Instr. 4) |
|  |   |   |                        |   | Code   | V          | (A)             | (D)    | Dat<br>Exc   | te<br>ercisable                                      | Expirat<br>Date   | ion T  | itle  | or<br>Number<br>of<br>Shares |                  |  |   |                                      |

### **Reporting Owners**

| B 41 0 V 4   | Relationships |              |         |       |  |  |
|--|---------------|--------------|---------|-------|--|--|
| Reporting Owner Name / Address                                   | Director      | 10%<br>Owner | Officer | Other |  |  |
| HANDOK, INC.<br>132, TEHERAN-RO<br>GANGMAN GU<br>SEOUL, M4 06235 |               | X            |         |       |  |  |

## Signatures

| Handok, Inc. by Hyeon Cheol Lee as CFO of Handok, Inc. | 09/30/2020 |
|--|------------|
|  |            |

| **Cinatana CD matina Dana      | Date  |
|--------------------------------|-------|
| —Signature of Reporting Person | 2 uic |
|                                |       |
|                                |       |
|                                |       |

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The purchases reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on July 6, 2020.
- The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$0.43 to \$0.45, inclusive. The (2) reporting person undertakes to provide to Rezolute, Inc., any security holder of Rezolute, Inc., or the staff of the Securities and Exchange Commission, upon request, full
- information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote (2).

  The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$0.43 to \$0.45, inclusive. The
- (3) reporting person undertakes to provide to Rezolute, Inc., any security holder of Rezolute, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote (2).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.